

DATE _____

PLANNING QUESTIONNAIRE

This questionnaire will be a starting point for our interview, where we will discuss any additional necessary information.

SECTION I. PERSONAL INFORMATION

1.	Name of Client:		Citizenship:	
	Date of Birth:		Marital Status:	
	Home			
	Address:		County:	
	City, State, Zip:			
	Telephone No.		Email:	
	Currently Residing at (other than home):			
	Address:			
	City, State, Zip:			
	Telephone No.:		County:	

2.	Name of Spouse / Significant Other (circle one):			
	Date of Birth:		Marital Status:	
	Address:		County:	
	City, State, Zip:			
	Telephone No.		Email:	

3.	Name of Friend / Other Relative / Contact Person who meeting with and/or brought in client (circle one):			
	Date of Birth:		Marital Status:	
	Address:			
	City, State, Zip:			
	Telephone No.:		County:	
	Email:			

CHILDREN (Living and Deceased)

	1	2	3	4
Name:				
Address:				
City:				
State, Zip:				
Telephone:				
Date of Birth:				
Marital Status:				
Email:				

SECTION II. FINANCIAL INFORMATION

ASSETS (include real property, vehicles, cash on hand, bank and brokerage accounts, certificates of deposit, savings bonds, retirement accounts, deferred compensation, etc.) (If more space required, please use the back this form.)

"Ballpark" figures provided for financial information are sufficient. Rough estimates of the value of real and personal property are sufficient as well.

	<i>Description</i>	<i>Owner</i> <i>(self, husband, wife, joint, trust)</i>	<i>Value</i> \$
1.	Real Property		
2.	Real Property		
3.	Vehicle		
4.	Vehicle		
5.	Cash on Hand		
6.	Checking Account		
7.	Checking Account		
8.	Savings Account		
9.	Savings Account		
10.	Certificate of Deposit		
11.	Certificate of Deposit		
12.	Savings Bonds (combined total)		
13.	Brokerage / Investment Account		
14.	Brokerage / Investment Account		
15.	IRA Account		
16.	IRA Account		
17.	Deferred Compensation		
18.	Other		
19.	Other		
20.	Other		

ANNUITIES AND LIFE INSURANCE POLICIES

	<i>Description</i> <i>(Company and Life Ins or Annuity)</i>	<i>Owner</i> <i>(self, husband, wife, joint, trust)</i>	<i>Face Value</i> \$	<i>Cash Value</i> \$
1.				
2.				
3.				
4.				
5.				
6.				

LIABILITIES

	<i>Description</i>	<i>Owner</i> <i>(self, husband, wife, joint, trust)</i>	<i>Value</i> \$
1.	Mortgage		
2.	Mortgage		
3.	Home Equity Loan		
4.	Home Equity Loan		
5.	Loan on Vehicle		
6.	Loan on Vehicle		
7.	Other		

INCOME

	<i>Description</i>	<i>Owner</i> <i>(self, husband, wife, joint, trust)</i>	Gross Monthly <i>Income</i> \$
1.	Social Security		
2.	Social Security		
3.	Pension		
4.	Pension		
5.	Annuity		
6.	Annuity		
7.	Employment		
8.	Employment		
9.	Other		

GIFTING (list any gifts of \$1,000 or more that was made by self, husband and/or wife, or by the trust within the last five years).

	<i>Date</i>	<i>Recipient</i>	<i>Reason</i>	<i>Amount</i> \$	<i>Gift Tax</i> <i>Return Filed?</i>
1.					
2.					
3.					
4.					
5.					
6.					

SECTION III. OTHER INFORMATION

- 1. Do you have irrevocable pre-paid funeral contracts? Yes No
- 2. Are you a wartime veteran or surviving spouse of a wartime veteran? WWII Korea
 Vietnam Gulf
- 3. If receiving skilled nursing care, is Medicare paying? Yes No
If yes, When does the 100 days expire? _____
Is there private medical insurance coverage such as Medigold, AARP, etc? Yes No
 Yes No
- 4. Do you have long-term care insurance? If yes, how many years of coverage? _____
- 5. If married, provide past dates of entering and leaving a hospital and nursing home, and the name of each institution

- 6. If not currently institutionalized, when is that expected to occur?
- 7. Is it likely that the patient will return home? Yes No
- 8. Is a family member living in the patient's home? Yes No
If so, name and relationship of that person: _____
- 9. What are the expectations of selling the home? _____

- 10. Has a Medicaid Application ever been filed? Yes No
If so, when? _____

WHAT YOU NEED TO BRING TO THE INITIAL MEETING

- This Planning Questionnaire - completed
- Estate Planning Documents (such as trust agreement, will, and durable financial power of attorney)
- Real property (deed, tax bill, and mortgage statement)
- Annuity and life insurance policies
- Current bank and brokerage account statements
- Federal tax returns for the last two years